

EASTKENTHOSPITALSUNIVERSITY NHS FOUNDATION TRUST

REPORT TO: BOARD OF DIRECTORS – 28 MARCH 2014

SUBJECT: CORPORATE RISK REGISTER – TOP 10

REPORT FROM: CHIEF NURSE AND DIRECTOR OF QUALITY AND OPERATIONS

PURPOSE: Information and discussion

CONTEXT / REVIEW HISTORY / STAKEHOLDER ENGAGEMENT

This document provides the Board of Directors (BoD) with an update of progress as at 17 March 2014 in respect of the top 10 risks on the Corporate Risk Register (CRR). The full Corporate Risk Register was last received by the Board in January 2014 and the Top Ten risks were reported on 28 February 2014. This report includes changes that occurred since the last Board meeting. The full register was last presented to the Risk Management and Governance Group (RMGG) at the January 2014 meeting; the top ten risks were reported on 25 February 2014. The financial risks were last reviewed at the Financial Investment Committee (FIC) on 23 September 2013. A summary report was received by the Integrated Audit and Governance Committee on 05 December 2013.

SUMMARY

There are three risks with an unmitigated risk score scores of 25 and two with a score of 20. The top five include, the internal financial efficiency programme; the external financial risk associated with CCG demand management, contract negotiations and financial challenges; the deterioration in A&E performance standard and the potential risk to patients waiting longer than four hours and the increased risk to patient safety associated with inefficient clinical pathways/patient flow resulting in extra beds and the patient safety risk associated with the delayed implementation of the PACS/RIS system across the Trust and the locality. The patient safety risk, due to a potential delay in diagnosis, has been assessed as the most significant area of concern, although there are system and operational issues associated with the implementation.

There were a number of emerging risks discussed at the January RMGG; these are further explored in the attached paper. The decision taken at that time was not to add these risks onto the register but to maintain a close overview of any significant changes, which may affect that decision.

New	None	
Reduced	None	
Increased	None	
Substantially changed	Two	<ul style="list-style-type: none"> HCAI – ability to demonstrate continued improvement against targets A&E performance
Removed	One	<ul style="list-style-type: none"> Non-compliance with NPSA alert for oral syringes
Emerging	Eight	<ul style="list-style-type: none"> Plans for the centralisation of high risk and emergency general surgery an interim measure to ensure that high risk emergency and elective general surgery for all of East Kent can be delivered in the safest way possible. Delays in the provision of scheduled chemotherapy across the Trust. The Trust has closed the service to investigate the issues. The provision of diabetic retinal screening service through another provider organisation – the Trust is

		bidding for the new contract for the provision of Diabetic Retinal Screening <ul style="list-style-type: none"> • System authentication of VitalPac and lack of updated security plan • Reputational risk associated with the publication of the CQC “intelligent monitoring” reports and the scheduling of the Trust in phase two of the revised CQC inspection regime • Failure to achieve the 2-week symptomatic breast target for September and for Quarter 2 – this improved during Quarter 3, which was compliant • Failure to achieve the 62 day screening referral to treatment standard for cancer in Quarter 3, January and February 2014 • Delays and target breaches with SECAMB and sending inappropriate first responders to critically ill patients.
IMPACT ON TRUST’S STRATEGIC OBJECTIVES: The Strategic objectives and BAF will ultimately drive the Annual Governance Statement, which represents the Trusts’ ability to identify and manage risks effectively. Failure to demonstrate a consistent approach to the mitigation and control of risks can impact considerably on the effective delivery of the Trust’s strategic and annual objectives.		
FINANCIAL IMPLICATIONS: Actions to mitigate certain risks have considerable impact on Trust expenditure; financial risks are now quantified in terms of single or cumulative costs. Failure to mitigate some risks will also result in financial loss or an inability to sustain projected income levels.		
LEGAL IMPLICATIONS / IMPACT ON THE PUBLIC SECTOR EQUALITY DUTY: The Trust could face litigation if risks are not addressed effectively. The aim of the Public Sector Equality Duty is relevant to the report in terms of the provision of safe services across the nine protected characteristics.		
PROFESSIONAL ADVICE TAKEN ON ANY NOVEL OR CONTENTIOUS ISSUES Not applicable		
BOARD ACTION REQUIRED: (a) to discuss and determine actions as appropriate		
CONSEQUENCES OF NOT TAKING ACTION: The Trust will continue to face unmitigated risks which may result in a worsening of the current position.		

CORPORATE RISK REGISTER – TOP 10**1. Summary**

- 1.1 Explanation
- 1.2 Signification changes to the risk register
- 1.3 Risks decreased
- 1.4 Risks increased
- 1.5 Risks removed from the register
- 1.6 New risks added to the register
- 1.7 Emerging risks

2. Risk register and the impact on the Annual Governance Statement**3. Board action required**