

**EAST KENT HOSPITALSUNIVERSITY NHS FOUNDATION TRUST**

REPORT TO: **BOARD OF DIRECTORS – 25 JULY 2014**

SUBJECT: **CORPORATE RISK REGISTER – TOP 10**

REPORT FROM: **CHIEF NURSE AND DIRECTOR OF QUALITY AND OPERATIONS**

PURPOSE: **Information and discussion**

**CONTEXT / REVIEW HISTORY / STAKEHOLDER ENGAGEMENT**

This document provides the Board of Directors (BoD) with an update of progress as at 17 July 2014 with the top 10 risks in the Corporate Risk Register (CRR). The top 10 risks on the Corporate Risk Register were last received by the BoD at the June 2014 meeting. This report includes changes that occurred since the last Board meeting. The full register was last presented to the Risk Management and Governance Group (RMGG) at the January 2014 meeting; the top ten risks were reported on 25 June 2014. The financial risks were last reviewed at the Financial Investment Committee (FIC) on 28 January 2014; an updated report is planned due to the signing of this years' contract. A summary risk report was received by the Integrated Audit and Governance Committee on 05 December 2013.

**SUMMARY**

There are three risks with an unmitigated risk score scores of 25 and three with a score of 20. The top six include, the internal financial efficiency programme; the external financial risk associated with CCG demand management, contract negotiations and financial challenges; the deterioration in A&E performance standard and the potential risk to patients waiting longer than four hours and the increased risk to patient safety associated with inefficient clinical pathways/patient flow resulting in extra beds, the patient safety risk associated with the delayed implementation of the PACS/RIS system across the Trust and the locality. The patient safety risk, due to a potential delay in diagnosis, has been assessed as the most significant area of concern, although there are system and operational issues associated with the implementation. A new risk added this month is the temporary closure of the aseptic unit, which is now in the top 6 risks affecting the Trust.

The emerging risks were discussed at the RMGG in May; these are further explored in the attached paper. The decision taken at that time was not to add these risks onto the register but to maintain a close overview of any significant changes, which may affect that decision. The CQC draft report has subsequently been received and is subject to review and scrutiny.

<b>New</b>	One	<ul style="list-style-type: none"> <li>Reputational risk associated with the publication of the CQC inspection report.</li> </ul>
<b>Reduced</b>	None	
<b>Increased</b>	One	<ul style="list-style-type: none"> <li>Information governance – The trust must demonstrate 95% compliance with annual staff training for IG. Current performance trustwide is around 65%.</li> </ul>
<b>Substantially changed</b>	Two	<ul style="list-style-type: none"> <li>HCAI – Clostridium difficile infections (CDI) increased in June and there was a Period of Increased Incidence reported at the QEOM and WHH sites</li> <li>A&amp;E performance to cover the year end position</li> </ul>
<b>Removed</b>	None	
<b>Emerging</b>	Four	<ul style="list-style-type: none"> <li>Trust response to the recently published PHSO report "Time to Act – severe sepsis: rapid diagnosis and</li> </ul>

		<p>treatment saves lives" and the non-compliance with standards following audit.</p> <ul style="list-style-type: none"> <li>• Patient safety concerns raised by junior doctors working at the Kent and Canterbury site.</li> <li>• Clinical activity exceeding the funded block contract.</li> <li>• Inconsistencies in the recording of patient's known allergies within healthcare records/systems.</li> </ul>
<p>Discussions have taken place with the Trust Secretary on the improved integration of the risks outlined within the Board Assurance Framework and the Corporate Risk Register.</p>		
<p><b>IMPACT ON TRUST'S STRATEGIC OBJECTIVES:</b></p> <p>The Strategic objectives and BAF will ultimately drive the Annual Governance Statement, which represents the Trusts' ability to identify and manage risks effectively. Failure to demonstrate a consistent approach to the mitigation and control of risks can impact considerably on the effective delivery of the Trust's strategic and annual objectives.</p>		
<p><b>FINANCIAL IMPLICATIONS:</b></p> <p>Actions to mitigate certain risks have considerable impact on Trust expenditure; financial risks are now quantified in terms of single or cumulative costs. Failure to mitigate some risks will also result in financial loss or an inability to sustain projected income levels.</p>		
<p><b>LEGAL IMPLICATIONS / IMPACT ON THE PUBLIC SECTOR EQUALITY DUTY:</b></p> <p>The Trust could face litigation if risks are not addressed effectively. The aim of the Public Sector Equality Duty is relevant to the report in terms of the provision of safe services across the nine protected characteristics.</p>		
<p><b>PROFESSIONAL ADVICE TAKEN ON ANY NOVEL OR CONTENTIOUS ISSUES</b></p> <p>Not applicable</p>		
<p><b>BOARD ACTION REQUIRED:</b></p> <p><b>(a) to discuss and determine actions as appropriate</b></p>		
<p><b>CONSEQUENCES OF NOT TAKING ACTION:</b></p> <p>The Trust will continue to face unmitigated risks which may result in a worsening of the current position.</p>		